

PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/788,301
				Filing Date	02/16/2001
				First Named Inventor	Sercel
				Art Unit	2874
				Examiner Name	John Lee
				Attorney Docket Number	ALG10NP
Sheet	1	of	3		

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number Number - Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 09/440,311	filed 11-12-1999	Vahala et al	
		US- 09/454,719	filed 12-07-1999	Vahala et al	
		US- 09/739,357	filed 12-07-2000	Yariv	
		US- 09/802,442	filed 03-09-2001	Painter et al	
		US- 60/483,499	filed 02-17-2000	Sercel et al	
		US- 60/226,147	filed 08-18-2000	Sercel et al	
		US- 60/267,248	filed 12-21-2000	Painter et al	
		US- 09/788,331	filed 02-16-2001	Sercel et al	
		US- 09/788,303	filed 02-16-2001	Sercel et al	
		US- 09/788,300	filed 02-16-2001	Vahala et al	
ABZ		US- 4,768,849	iss 09-06-1988	Hicks, Jr.	
ABZ		US- 5,138,676	iss 08-11-1992	Stowe et al	
ABZ		US- 5,281,247	iss 01-25-1994	Haruhiko et al Aikawa et al	
ABZ		US- 5,343,490	iss 08-30-1994	McCall	
ABZ		US- 5,471,551	iss 11-28-1995	Kragl et al	
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ABZ		US- 6,101,300	iss 08-08-2000	Fan et al	
		US-			
		US-			
		US-			

[illegible]

Examiner Signature	<i>John D. Lee</i>	Date Considered	03/13/2003
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/788,301
Sheet	3	Filing Date	02/16/2001
	of 3	First Named Inventor	Sercel
		Group Art Unit	2874
		Examiner Name	John Lee
		Attorney Docket Number	ALG10NP

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
JDL		J. C. Knight, G. Cheung, F. Jacques, and T. A. Birks, "Phased-matched excitation of whispering-gallery-mode resonances by a fiber taper", Optics Letters Vol. 22 1129 (1997) (Aug.)	
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JDL		M. Kuwata-Gonokami, R. H. Jordan, A. Dodabalapur, H. E. Katz, M. L. Schilling, R. E. Slusher, and S. Ozawa, "Polymer microdisk and microring lasers", Optics Letters Vol 20(20) 2903 (1995) (Oct.)	
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JDL		S. V. Frolov, Z. V. Vardeny, and K. Yoshino, "Plastic microring lasers on fibers and wires", Applied Physics Letters Vol 72(15) 1802 (1998) (April)	
JDL		S. V. Frolov, A. Fujii, D. Chinn, Z. V. Vardeny, K. Yoshino, and R. V. Gregory, "Cylindrical microlasers and light emitting devices from conducting polymers", Applied Physics Letters, Vol 72(22) 2811 (1998) (June)	

Examiner Signature	John D. Lee	Date Considered	03/13/2003
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